Craig G. Dunbar, Ph.D. Associate Professor, Finance

Curriculum Vitae

Work: Ivey Business School Western University, 1255 Western Rd., Room 3353 London, Ontario, N66 N1, Canada *phone*: (519) 661-3716, *fax*: (519) 661-3563 *email*: cdunbar@ivey.ca *Home*: 497 Grangeover Cres. London, Ontario, N6G 4V3 Canada

cell: (519) 852-2688

<u>Education</u>

University of Rochester, William E. Simon Graduate School of Business Administration, Ph.D. in
Management with Finance major and Applied Statistics minor (1988 - 93).
Thesis tittle: The Effect of Information Asymmetries on the Choice of
Underwriter Compensation Contracts in IPOs. Supervisor: Clifford W. Smith Jr.

University of Rochester, William E Simon Graduate School of Business Administration, Master of Science in Business Administration (1988-90).

Massachusetts Institute of Technology, Master of Science in Technology and Policy (1984 - 86).

University of Toronto, Bachelor of Applied Science in Civil Engineering with honours standing (1980 – 84).

Academic Positions

University of Western Ontario, Ivey School of Business, Associate Professor, 2000 – present.; Tangerine Chair in Finance, 2019 - Present

University of Western Ontario, Ivey School of Business, Associate Dean, Faculty Development and Research, 2004 – 2009.

University of Western Ontario, Ivey School of Business, Assistant Professor, 1997 – 2000

University of Pittsburgh, Katz Graduate School of Business, Assistant Professor, 1993-1997.

University of Pittsburgh, Katz Graduate School of Business, Lecturer, 1992-1993.

Refereed Journal Articles

Dunbar, C. and M. King, 2023, Syndicate structure and IPO outcomes: The impact of underwriter roles and syndicate concentration. *Journal of Corporate Finance*, 79, 102382.

Boeh, K., and C. Dunbar, 2021, Raising capital after IPO withdrawal, *Journal of Corporate Finance*, 69, 102020.

Dunbar, C. Z. Li, and Y. Shi, 2020, CEO risk-taking incentives and corporate social responsibility, *Journal of Corporate Finance*, 64, 101714.

Boeh, K and C. Dunbar, 2016, Underwriter Deal Pipeline and the Pricing of IPOs, *Journal of Financial Economics*, 120, 383-399.

Boeh, K and C. Dunbar, 2014, IPO Waves and the Issuance Process, *Journal of Corporate Finance*, 25, 455-473.

Dunbar, C. and S. Foerster, 2008, Second Time Lucky? Withdrawn IPOs that Return to the Market., *Journal of Financial Economics*, 87, 610-635.

Clarke, J., C. Dunbar and K. Kahle, 2004, The Long-run Performance of Secondary Equity Issues: A Direct Test of the Window of Opportunity Hypothesis?, *Journal of Business*, 77, 575-603.

Clarke, J., C. Dunbar and K. Kahle, 2001, Long-Run Performance and Insider Trading in Completed vs. Canceled Seasoned Equity Offerings, *Journal of Financial and Quantitative Analysis*, 36, 415-430.

Recipient of the 2001 William F. Sharpe Award for Scholarship in Financial Research (best paper for the 2001 year based on votes from the *JFQA* readership)

Dunbar, C., 2000, Factors Affecting Investment Bank Initial Public Offering Market Share, *Journal of Financial Economics*, 55, 3-41.

Dunbar, C., 1998, The Choice between Firm-Commitment and Best-Efforts Offering Methods in IPOs: The Effect of Unsuccessful Offers, *Journal of Financial Intermediation* 7(1), 60-90.

Dunbar, C., 1997, Overallotment Option Restrictions and Contract Choice in Initial Public Offerings, *Journal of Corporate Finance* 3(3), 251-275.

Barclay, M. and C. Dunbar, 1996, Private Information and the Cost of Trading Around Quarterly Earnings Announcements, *Financial Analyst Journal*, December 1996, 75-84.

Dunbar, C., 1995, The Use of Warrants as Underwriter Compensation in Initial Public Offerings, *Journal of Financial Economics* 38, 59-78.

Other Publications

Boeh, K., Dunbar, C., 2018, Regulation gone wild: The death of the IPO by a thousand cuts, <u>The</u> <u>Oxford Handbook of IPOs</u>, Oxford Publishing

Dunbar, C, "Facebook and the Wilt Chamberlain Effect", July 16, 2012 Globe & Mail editorial

Dunbar, C, "Why Facebook friended Wall Street", May 18, 2012, Globe & Mail editorial

Dunbar, C. 2004, Google's Bad Bid, National Post, Page F15

Dunbar, C. 2002, IPO Investing, Canadian Investment Review, Spring 2002

Dunbar, C. 1999, The benefits of insider trading, National Post

Dunbar, C., 1996, Investing in Initial Public Offerings, in *Asian American Investment Guide*, Spindle Publications

Working Papers

Corporate Social (Ir)responsibility and Firm Risk: The Role of Corporate Governance (with Frank Li and Yaqi Shi). Working paper, Ivey Business School, Western University, 2023.

The IPO Share Adjustment Puzzle. Working paper, Ivey Business School, Western University, 2023.

Information Asymmetry and IPO Underpricing: Evidence from the SEC's Permissible Price Range (with Felipe Restrepo). Working paper, Ivey Business School, Western University, 2023.

SEC Registration Fee Choice and IPO Pricing (with Kevin Boeh and Saurin Patel). Working paper, Ivey Business School, Western University, 2023.

Work in Process

Syndicate Relationships in IPOs (with Michael King)

Refereed Conference Presentations

The IPO Share Adjustment Puzzle. Northern Finance Association Annual Meeting, Toronto, September, 2023.

Corporate Social (Ir)responsibility and Firm Risk: The Role of Corporate Governance (with Frank Li and Yaqi Shi), 3rd Boca Corporate Finance and Governance Conference (Review of Corporate Finance Journal Conference), Boca Raton, FL, December 2022

Refereed Conference Presentations (continued)

Multiple Bookrunners, Bargaining Power, and the Pricing of IPOs (with Michael King; now titled Syndicate Structure and IPO Outcomes: Disentangling Underwriter Titles from Syndicate Concentration), Financial Management Association meeting, Glasgow, Scotland, UK, June 2019

Multiple Bookrunners, Bargaining Power, and the Pricing of IPOs (with Michael King; now titled Syndicate Structure and IPO Outcomes: Disentangling Underwriter Titles from Syndicate Concentration)), Financial Management Association meeting, San Diego, CA, USA, October 2018

Corporate Social Responsibility and CEO Risk-Taking Incentives (with Frank Li and Yaqi Shi), Financial Management Association Meeting, Boston MA, October 2017; American Accounting Association Meeting, San Diego, CA, August 2017; IFABS University of Nottingham, Ningbo, China; September 2017

Multiple Bookrunners, Bargaining Power, and the Pricing of IPOs (with Michael King; now titled Syndicate Structure and IPO Outcomes: Disentangling Underwriter Titles from Syndicate Concentration), Northern Finance Association Meeting, Halifax, NS, September 2017

Investment Bank Deal Pipeline and the Pricing of IPOs (with Kevin Boeh), Northern Finance Associate Meeting, Ottawa, ON, September 2014

Boeh, K and C. Dunbar, Post IPO withdrawal outcomes, Financial Management Association Meeting, Chicago, October 2013

Boeh, K, and C. Dunbar, IPOs in registration, Financial Management Association Meeting, Atlanta, October 2012

Clarke, J., C. Dunbar and K. Kahle, All-star Analyst Turnover, Investment Bank Market Share, and the Performance of Initial Public Offerings, Financial Management Association Meeting, Denver, October 2003

Dunbar, C. and S. Foerster, Second Time Lucky? Underwriter Switching and Performance of Withdrawn IPOs that Return to the Market, Financial Association Meeting, Denver, October 2003

Clarke, J., C. Dunbar and K. Kahle, All-star Analyst Turnover, Investment Bank Market Share, and the Performance of Initial Public Offerings, Western Finance Association Meeting, Los Cabos Mexico, June 2003

Clarke, J., C. Dunbar and K. Kahle, "The Long-run Performance of Secondary Equity Issues: Cash Flow or Window of Opportunity?", presented at the Financial Management Association Meeting, Orlando FL, October 1999.

Refereed Conference Presentations (continued)

Clarke, J., C. Dunbar and K. Kahle, "Long-Run Common Stock Performance and Insider Trading in Completed vs. Canceled Seasoned Equity Offerings", presented at the Eastern Finance Association Meeting, Miami Beach, April 1999.

C. Dunbar, "Offer Term Adjustments in IPOs", presented at the Northern Finance Association Meeting, Toronto, September 1998.

Dunbar, C., Chuan-Yang Hwang and Kuldeep Shastri, "Underwriter Analyst Recommendations: Conflict of Interest or Rush To Judgment?" presented at the Financial Management Association Meetings, Honolulu, Hawaii, October 1997.

Dunbar, C., "Overallotment Option Restrictions and Contract Choice in Initial Public Offerings", presented at the Financial Management Association Meetings, New York, October 1995.

Dunbar, C., "The Use of Warrants as Underwriter Compensation in Initial Public Offerings", paper presented at the American Finance Association Meetings, Anaheim, January 1993.

Barclay, M. and C. Dunbar, "Private Information and the Cost of Trading Around Quarterly Earnings Announcements", paper presented at The Second Annual Conference on Financial Economics and Accounting, Buffalo, September 1991.

<u>Research Funding</u>

UWO Undergrad Summer Research Internship program funding for summer 2020

Social Sciences and Humanities Research Council of Canada – Grant # 43520181525, "Corporate Social Responsibility, CEO Risk-Taking Incentives, and Firm Valuation" 2018-2021

Social Sciences and Humanities Research Council of Canada – UWO assistant fund for \$5000 (2017).

Social Sciences and Humanities Research Council of Canada Standard Research Grant for \$91,885 (2002-2005).

Social Sciences and Humanities Research Council of Canada Fellowship (1988-92).

Natural Sciences and Engineering Research Council of Canada Fellowship (1984-86).

Teaching experience

Degree Program Course Teaching, Ivey Business School: MBA Core Finance (1997 to 2004, 2015 to present) HBA Core Finance (1998 to 2001, 2009 - 2020) Global Corporate Finance, MSc Elective (2020 – present) Applied Corporate Finance, HBA elective (2010 – 2013) Foundations of Finance, Ph.D. seminar (2001 to 2005) Derivatives and Risk Management –MBA and HBA elective (2000 to 2003).

Executive Education Teaching, Ivey Business School:

- BDC Growth Driver Program (2021 to present)
- TD Driving Financial Performance Custom (virtual) program (2021 to present)
- RBC, Foundations of Financial Performance (FOFP), Driving Financial Performance (DFP) and
 - Developing Business and Financial Acumen (DBFA) Custom Programs (2010 2020)

Ivey Executive Program (2008 to present)

Aviva Custom program (2018)

Syngenta University (2014 – 2015)

Pure Technologies Custom Program (2014)

Aecon Custom Program, (2012 - 2013)

- Ivey Management Program (Formerly the Ivey High-Potential Managers Program and the Accelerating Management Talent Program), Finance Module (1998-2008), program director (2003-2008)
- Call-Net Executive Development Program, Richard Ivey School of Business, University of Western Ontario (1998 to 2000)

Other teaching, Ivey Business School:

MBA-direct student advisor (Gabriel Vinizki, Gordon Sanford and Matthew Farb), Richard Ivey School of Business, University of Western Ontario (1998 to present). MBA independent study course supervisor (Kent Farrell, Darcy Jones, Geoffrey Cohen, Paul Admunsden, Justin MacCormack, Gurinder Grewal, Stephen Arvanitides, Jamie Gallant, Tom Little, Jeff Poulsen, Michael Remedios, Bernard Yancovich), Richard Ivey School of Business, University of Western Ontario (1998 to present). HBA independent study course supervisor (David Goldberg, Ho-Yin Lee, Lauren Harris, Connor Boyce), 2001 to present

Teaching at other Institutions:

- Investment Management and Capital Markets (MBA elective courses) Katz Graduate School of Business, University of Pittsburgh (1995 to 1997)
- Financial Institutions Management and Financial Intermediation (MBA electives) Katz Graduate School of Business, University of Pittsburgh (1993 to 1997)

Introduction to Finance (undergraduate elective) - University of Pittsburgh (1993 -95)

Finance Theory I (Ph.D. core course) and Finance Doctoral Seminar- Katz Graduate School of Business, University of Pittsburgh (1994 and 1997)

Teaching experience (continued)

Teaching at other Institutions (continued):

Mathematical techniques in economics (Ph.D. required review course) - William E. Simon Graduate School of Business Administration, University of Rochester (1990)

Probability and decision analysis and Data analysis and forecasting (MBA required courses laboratory instructor) - William E. Simon Graduate School of Business Administration, University of Rochester (1990 to 1991)

Completed Cases

S. Foerster, C. Dunbar, and F. Restrepo, GFL Environmental Inc.'s Initial Public Offering, Ivey Case # 9B21N007 (15 pages)

C. Dunbar, F. Restrepo, Harley-Davidson Inc.: Dividend Discount Model, Ivey Case # 9B20N012 (8 pages)

C. Dunbar, S. Foerster and K. Mark, Spotify's Direct-Listing IPO, Ivey Case # 9B18N006 (19 pages)

C. Dunbar, C. Nielsen, K. Mark, AltaGas Ltd.: Acquisition of Decker Energy International, Ivey Case #9B17N022 (12 pages)

C. Dunbar and J. Peloza, Gabriel Resources: Foreign Direct Investment in Romania, Ivey Case # 9B14N025 (14 pages)

C. Dunbar and G. Eccleston, Hudbay Minerals: Acquisition of Norsemont Mining, Ivey Case # 9B14N013 (28 pages)

C. Dunbar, C. Southam and J Lindquist, Initial Public Offerings, Ivey Technical Note # 9B14N005 (13 pages)

C. Dunbar and D. Hatch, Inmet Mining Corporation: Corporate Bond Issuance, Ivey Case # 9B13N021 (18 pages)

C. Dunbar, S. Foerster and K. Mark, Lenovo Group Limited - A Good Investment for the Fund? Ivey Case # 9B13N017 (17 pages)

C. Dunbar and K. Zhou, Sandstorm Metals & Energy, Ivey Case # 9B13N014 (12 pages)

D. Compeau, C. Dunbar, M. King and K Mark, NASDAQ OMX: The Facebook Debacle, Ivey Case # 9B13E006 (12 pages)

D. Compeau, C. Dunbar, M. King and K Mark, Facebook, Inc.: The Initial Public Offering, Ivey Case # 9B12N031 (20 pages)

Completed Cases (continued)

C. Dunbar, D. Wood and K. Mark, Baffinland Iron Mines Corporation, Ivey Case # 9B12N029 (24 pages)

D. Wood and C. Dunbar, Serius XM Radio Canada, Ivey Case # 9B11N026 (16 pages)

D. Wood and C. Dunbar, Air Canada- Risk Management, Ivey Case # 9B10N037 (11 pages)

C. Dunbar, K. Mark, Cequel Energy, Inc.: Increasing Shareholder Value, Ivey Case # 9B06N007 (14 pages)

C. Dunbar, K. Mark, and M. Comisarow, Private Equity at Work: Purchasing Cake Masters, Ivey Case # 9B06N007 (16 pages) with teaching note #8B06N07 (8 pages)

M. J. Bryant, C. Dunbar, and K. Markov, Mobitell (A): Mobile Communications in Russia, Ivey Case #9B05B015 (17 pages)

M. J. Bryant, C. Dunbar, and K. Markov, Mobitell (B): Hedging Alternatives, Ivey Case #9B05B016 (6 pages)

M. J. Bryant, C. Dunbar, and K. Markov, Mobitell (C): Accounting for the Swap Deal, Ivey Case #9B05B017 (6 pages)

FC. Dunbar and C. Southam, London Youth Symphony, Ivey Case #9B05N009 Publication Date: 8/12/2005 (16 pages) with teaching note #8B05N09 (6 pages)

C. Dunbar and A. Cogan, Amtelecom Group Inc., Ivey Case 9B04N014 (32 pages) with teaching note #8B04N14 (22 pages) – written for National Bank Financial case competition (Dec. 2004)

C. Dunbar and P. Asmundson, Husky Energy Incorporated, Ivey Case 9B02N013 (21 pages) with teaching note #8B02N13 (15 pages)

C. Dunbar, Sylvan Learning Systems and Childtime Learning Centers: Cost of Capital, Ivey Case 9B01N011 (7 pages)

Dunbar and M. Siwak, Donaldson Lufkin & Jenrette And Netia S.A. - Marketing the First Polish IPO On Nasdaq, Ivey case 9B00N023 (28 pages)

C. Dunbar and David Goldberg, Financial Performance of Dell Computer Corporation, Ivey case 9B01N017 (14 pages), with teaching note #8B01N17 (11 pages)

C. Dunbar and J.J. McHale, Phoenix River Gold Company, Ivey case 9B01N005 (6 pages)

Completed Cases (continued)

C. Dunbar and G. Vinizki, United Grain Growers (A), Ivey case 9B00N020 (22 pages) with teaching note #8B00N20 (19 pages)

C. Dunbar and G. Vinizki, United Grain Growers (B): The ADM Alternative, Ivey case 9B00N021 (6 pages)

T. Bates, C. Dunbar, S. Foerster and C. Lounds, The Empire Company Limited – The Oshawa Group Limited Proposal, Ivey case 9B00D014 (25 pages) with teaching note #8B00N28 (14 pages)

C. Dunbar, J. Hatch and J. Siambanpoulus, London Ski Club, Ivey case 9A99N041 (13 pages), with teaching note #8A99N41 (9 pages).

C. Dunbar and M. Remedios, Fox's Initial Public Offering: Unlocking Shareholder Value at News Corporation, Ivey case 9A99N039 (25 pages), with teaching note #8A99N39 (8 pages)

C. Dunbar, S. Foerster and A. Arif, The T. Eaton Company Limited's Initial Public Offering (Abridged), Ivey case 9A99N016 (31 pages) with teaching note #8A98N24 (16 pages).

C. Dunbar and S. Parmar, Lewis and Company, Ivey case 9A99N013 (5 pages) with teaching note #8A99N13 (7 pages).

C. Dunbar and C. Sooran, IFC Manufacturing: Foreign Exchange Hedging, Ivey case 9A99N010 (21 pages) with teaching note #8A99N10 (14 pages).

C. Dunbar and J.J. McHale, UniHost Corporation, Ivey case 9A99N008 (17 pages) with teaching note #8A99N08 (14 pages).

C. Dunbar, Oxford Learning Centres Inc.: The Childtime Alternative, Ivey case 9A98N013 (13 pages) with teaching note #8A98N13 (11 pages).

C. Dunbar, S. Foerster and A. Arif, The T. Eaton Company Limited's Initial Public Offering, Ivey case 9A98N024 (31 pages) with teaching note #8A98N24 (16 pages).

Doctoral Student Supervision

Ramani Srikanth (Chair), 2018, Empirical Essays in Finance, University of Western Ontario.

Dang, Chongyu (Doctoral examination committee member), 2016, Three Essays in Empirical Finance and Corporate Governance, University of Western Ontario

Charlebois, Maxime (Chair), 2006, Essays in capital raising, Ivey School of Business, University of Western Ontario.

Doctoral Student Supervision (continued)

Colette Southam (Chair), 2006, Information Asymmetry in the Executive Labour and Initial Public Offering Markets, Ivey School of Business, University of Western Ontario.

Jonathan Clarke, 2000, Essays on Market Microstructure, Katz Graduate School of Business, University of Pittsburgh

Lynn Doran, 1998, "NASDAQ Market-Making and Trading on NYSE-Listed Securities", Katz Graduate School of Business, University of Pittsburgh

John Schmitz, 1997, "The multifactor risk, performance, and predictability of size, value, and momentum portfolios", Richard Ivey School of Business, University of Western Ontario

Akitoshi Ito, 1997, "Profits on technical trading rules and time-varying expected returns: evidence from Pacific-Basin Equity Markets", Richard Ivey School of Business, University of Western Ontario

Vijay Gondhalaker, 1997, "An Empirical Investigation of the Hubris Hypothesis: Evidence from Corporate Takeovers, 1973-1992", Katz Graduate School of Business, University of Pittsburgh

Amy Bonkoski, 1997, "The role of warrant holding underwriters in the evolution of governance structure after IPOs", Katz Graduate School of Business, University of Pittsburgh

Roy Sembel (Co-Chair), 1996, "IPO anomalies, truncated excess supply, and heterogenous information", Katz Graduate School of Business, University of Pittsburgh

Po-Hung Joseph Fan, 1996, "Essays on vertical integration and corporate governance", Katz Graduate School of Business, University of Pittsburgh

Gurmeet Singh Bhabra, 1996, "Effect of Acquisition Programs on Shareholders of Acquiring and Potential Target Firms: Empirical Evidence, 1966-1992", Katz Graduate School of Business, University of Pittsburgh

Praveen Varma, 1995, "Seasoned Equity Offerings, Investment Opportunity Set and Market Expectations", Katz Graduate School of Business, University of Pittsburgh

Chris Anderson, 1995, "Deregulation, Disintermediation, and Agency Costs of Debt: Evidence from Japan", Katz Graduate School of Business, University of Pittsburgh

Vidhan Goyal, 1994, "Essays on the Structure of Debt Contracts: A Cross-Sectional and a Longitudinal Analysis", Katz Graduate School of Business, University of Pittsburgh

Doctoral Student Supervision (continued)

Keehong Rhee, 1994, "CEO Compensation Plans as Ex-Ante Incentive and Ex-Post Settling Up Mechanisms: Evidence from Acquiring Firms", Katz Graduate School of Business, University of Pittsburgh

<u>Awards</u>

MBAA Lawrence G. Tapp Excellence in Teaching Award (2016, 2018, 2022)

Ivey Classics Award for "Facebook, Inc.: The Initial Public Offering (A)" (2022)

Ivey top selling case: Facebook, Inc.: The Initial Public Offering (A) (2016/17: #5, 2017/18: #1, 2018/19: #5)

Ivey Faculty Outstanding Service Award (2016)

William F. Sharpe Award for Scholarship in Financial Research (best paper for 2001)

David G. Burgoyne Award for Outstanding Contribution to Student Development, Ivey Business School (2002)

Paul Desmarias / London Life Financial Fellowship (2000 to 2004, 2014 to 2018)

- University Student Council Teaching Honour Roll, University of Western Ontario (1999, 2010, 2011, 2012, 2016)
- J.C. Taylor Faculty Fellowship, Richard Ivey School of Business, University of Western Ontario (1998-2000)

Beta Gamma Sigma membership (1992)

John M. Olin Fellowship, University of Rochester (1991 to 1992).

Jessie S. Noyes Fellowship, Massachusetts Institute of Technology (1985 to 1986).

University of Toronto Open Scholarship (1980 to 1984).

Professional Service

Outside thesis examiner for Chong Meng, PhD candidate at the University of Alberta School of Business, June 2020.

Program organizer, Northern Finance Association Meeting, Mount Tremblant, September 2016, Charlevoix, September 2018, and Niagara-on-the-Lake Ontario, September 2009

- Paper discussant, Western Finance Association meeting, Santa Monica CA, June, 1999, Eastern Finance Association Meeting, Miami Beach FL, April 1999, Northern Finance Association Meeting,
- Toronto, September 1998, Western Finance Association meeting, Monterey CA, June 1998, The Eighth Annual Conference on Financial Economics and Accounting, Buffalo, November 1997,
- Referee for the Journal of Financial Economics, Journal of Financial and Quantitative Analysis, Review of Financial Studies, Journal of Corporate Finance, Financial Management, Journal of Banking and Finance, Financial Review, Quarterly Review of Economics and Finance, Journal of Financial Intermediation

Financial Institutions track chair, Eastern Finance Association Meeting, April, 1999

Program organizer, Financial Management Association Meeting, San Antonio, October 2002 (and committee member selecting best paper award in investments) and St. Louis, October 1994

University Service

- Western Academy for Advanced Research Academic Steering Committee member (2021 to present)
- Ivey Strategy Task Force elected member (2021 to 2023)
- Ivey Workload Committee (elected position, 2021 and 2015), Ivey Business School
- Finance Area Group Coordinator (2003 to 2004; 2010 to 2016; 2018 to present)
- Ivey Appointments Committee (elected member 2020-2021, 2023 to present)
- Ivey Engagement Committee Co-Chair (2016 to 2018)
- University of Western Ontario Dan Department of Management & Organization Studies Promotion and Tenure Committee (2018 to 2020)
- Promotions and Tenure committee member, Richard Ivey School of Business, University of Western Ontario (2000 to 2003, 2014 to 2017)
- Western University task force on the University budget model (elected position, 2015).
- University of Western Ontario, Joint Pension Board Member (2010 to 2013)
- University of Western Ontario, Senate Committee on University Planning (2007-2012); Chair (2008 2012)
- University of Western Ontario, Chancellor Election Board (2011-2012)
- University of Western Ontario, Senator (2007-2011)
- University Committee to review VP research position (2009)
- University of Western Ontario, Joint Subcommittee on Student Teaching Evaluations (2006-2008)
- University of Western Ontario, Joint Committee (Administration and UWO Faculty Association) 2005-2007
- Associate Dean, Faculty Development and Research (2004 to 2008)
- Ivey Business School HBA Curriculum Review Committee member, Richard Ivey School of Business, University of Western Ontario (2003 to 2004)
- Ivey Business School Curriculum Review Committee member, Richard Ivey School of Business, University of Western Ontario (2002 to 2003)
- Performance Evaluation Committee member, Richard Ivey School of Business, University of Western Ontario (2001 to 2005)
- Member of committee revising the workload measurement system, Richard Ivey School of Business, University of Western Ontario (2000 to 2001)
- Finance Area group Ph.D. program coordinator, Richard Ivey School of Business, University of Western Ontario (2000 to 2003)
- Thesis examiner, Thesis defense chair for Fuchun Li, Department of Economics, University of Western Ontario (September 1999)
- Coordinator of Finance Faculty Recruiting, Richard Ivey School of Business, University of Western Ontario (1997 to present)
- Associate Dean Search Committee, Richard Ivey School of Business, University of Western Ontario (1998 /1999 academic year)
- MBA admissions committee, Katz Graduate School of Business, University of Pittsburgh (1992 / 1993 academic year member, 1994-1996 convener)
- Masters Committee Katz Graduate School of Business, University of Pittsburgh (1994 to 1996) Team member for University of Pittsburgh at Bradford 1995 business program review

Interviews in the press

September 2013 CTV newsworld interview on the Twitter IPO

Quoted in article by Josh Rubin and Niamh Scallan, "Facebook IPO: Failure to Launch", Toronto Star May 19, Toronto Star

May 2012 Sun TV interview on the Facebook IPO (http://www.sunnewsnetwork.ca/video/1648479992001.)

May 2012 TVO "The Agenda" interview "Whither the Public Company? (http://theagenda.tvo.org/episode/178346/making-social-media-pay)

CBC Radio syndication, "Subprime Lending Crisis", July, 2008

CBC Radio syndication, "Implications of the WorldCom accounting fiasco", July 3, 2002.

J. Birger, "Public Skeptical: A company's IPO withdrawal could either be fatal, or a windfall", Red Herring, August 2000, p. 354-362.

A. Shiffrin, "The day the IPO died", The Industry Standard, October 2, 2000, p. 86-94.